

THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION. PLEASE REVIEW IT CAREFULLY.

Important Notice of Privacy Practices

CCARC, Inc.

It is important to read and understand this Notice of Privacy Practices before signing the Consent and Acknowledgment Form.

If you have any questions about this Notice or would like further information concerning your privacy rights, please contact CCARC.

CCARC, Inc.
Gabriele Godlewski, Vice President of Operations
Privacy Officer
950 Slater Road
New Britain, CT 06053
(860) 229-6665

Notice of Privacy Practices

Effective Date: April 14, 2003

Purpose of the Notice of Privacy Practices

This Notice of Privacy Practices (the "Notice") is meant to inform you of the uses and disclosures of protected health information (PHI) or electronic protected health information (EPHI) that we may make. It also describes your rights to access and control your protected health information and electronic protected health information and certain obligations we have regarding the use and disclosure of your PHI and EPHI.

Your "protected health information" PHI and "electronic protected health information" EPHI is information about you created and received by us, including demographic information, that may reasonably identify you and that relates to your past, present or future physical or mental health or condition, or payment for the provision of your health care.

We are required by law to maintain the privacy of your protected health information and electronic protected health information. We are also required by law to provide you with this Notice of our legal duties and privacy practices with respect to your PHI and EPHI and to abide by the terms of the Notice that is currently in effect. However, we may change our notice at any time. The new revised Notice will apply to all of your PHI and EPHI maintained by us. You will not automatically receive a revised Notice. If you would like to receive a copy of any revised Notice you should access our web site at www.ccarc.com or contact CCARC, Inc. ("CCARC").

How We May Use or Disclose Your Protected Health Information and Electronic Protected Health Information

CCARC will ask you to sign a consent form that allows CCARC to use and disclose your PHI or EPHI for treatment, payment and health care operations. You will also be asked to acknowledge receipt of this Notice.

The following categories describe some of the different ways that we may use or disclose your PHI or EPHI. Even if not specifically listed below, CCARC may use and disclose your PHI or EPHI as permitted or required by law or as authorized by you. We will make reasonable efforts to limit access to your PHI or EPHI to those persons or classes of persons, as appropriate, in our workforce who need access to carry out their duties. In addition, if required, we will make reasonable efforts to limit the PHI or EPHI to the minimum amount necessary to accomplish the intended purpose of any use or disclosure and to the extent such use or disclosure is limited by law.

- **For Treatment** - We may use and disclose your PHI or EPHI to provide you with medical treatment and related services. For example your PHI or EPHI may be used to determine appropriate services, administer medication or communicate with your doctor. If we are permitted to do so, we may also disclose your PHI or EPHI to individuals or facilities that will be involved with your care after you leave CCARC and

for other treatment reasons. We may also use or disclose your PHI or EPHI in an emergency situation.

- **For Payment** - We may use and disclose your PHI or EPHI so that we can bill and receive payment for the treatment and related services you receive. For billing and payment purposes, we may disclose your health information to your payment source, including an insurance or managed care company, Medicare, Medicaid, state agency, or another third party payor. For example, we may need to give your health plan information about the treatment you received so your health plan will pay us or reimburse us for the treatment, or we may contact your health plan to confirm your coverage or to request prior authorization for a proposed treatment.
- **For Health Care Operations** - We may use and disclose your health information as necessary for operations of CCARC, such as quality assurance and improvement activities, reviewing the competence and qualifications of health care professionals, medical review, legal services and auditing functions, and general administrative activities of CCARC. For example, we may use your PHI or EPHI to audit client files, determine staffing, or communicate with CCARC's Board of Directors.
- **Business Associates** - There may be some services provided by our business associates, such as a billing service, transcription company or legal or accounting consultants. We may disclose your PHI or EPHI to our business associate so that they can perform the job we have asked them to do. To protect your health information, we require our business associates to enter into a written contract that requires them to appropriately safeguard your information.
- **Appointment Reminders** - We may use and disclose PHI or EPHI to contact you as a reminder that you have an appointment at CCARC.
- **Treatment Alternatives and Other Health-Related Benefits and Services** - We may use and disclose PHI or EPHI to tell you about or recommend possible treatment options or alternatives and to tell you about health related benefits, services, or medical education classes that may be of interest to you.
- **Fundraising Activities** - We may use information about you to contact you in an effort to raise money for CCARC and its operations. The information we release will be limited to your contact information, such as your name, address and telephone number and the dates you received treatment or services at CCARC. A description of how to opt out of receiving any further fundraising communications will be included with any fundraising materials you receive from CCARC. If you request that your information not be used or disclosed for fundraising purposes, we will make a reasonable effort to ensure that you do not receive future fundraising communications.
- **Agency Directory** - Except for individuals admitted to a hospital for psychiatric disabilities or to a substance abuse treatment program, unless you object, we may include limited information about you in our facility directory while you are a client of CCARC, including your name and location. The directory information may be released to people who ask for you by name.
- **Individuals Involved in Your Care or Payment of Your Care** - Unless you object, we may disclose your PHI or EPHI to a family member, a relative, a close friend or any other person you identify, if the information relates to the person's involvement in your health care to notify the person of your location or condition or payment related to your health care. In addition, we may disclose your PHI or EPHI to a public or private entity

authorized by law to assist in a disaster relief effort. If you are unable to agree or object to such a disclosure we may disclose such information if we determine that it is in your best interest based on our professional judgment or if we reasonably infer that you would not object.

- **Public Health Activities** - We may disclose your PHI or EPHI to a public health authority that is authorized by law to collect or receive such information, such as for the purpose of preventing or controlling disease, injury, or disability; reporting births, deaths or other vital statistics; reporting abuse or neglect; notifying individuals of recalls of products they may be using; notifying a person who may have been exposed to a disease or may be at risk of contracting or spreading a disease or condition.
- **Health Oversight Activities** - We may disclose your PHI or EPHI to a health oversight agency for activities authorized by law, such as audits, investigations, inspections, accreditation, licensure and disciplinary actions.
- **Judicial and Administrative Proceedings** - If you are involved in a lawsuit or a dispute, we may disclose your PHI or EPHI in response to your authorization or a court or administrative order. We may also disclose your PHI or EPHI in response to a subpoena, discovery request, or other lawful process if such disclosure is permitted by law.
- **Law Enforcement** - We may disclose your PHI or EPHI for certain law enforcement purposes if permitted or required by law. For example, to report gunshot wounds; to report emergencies or suspicious deaths; to comply with a court order, warrant, or similar legal process; or to answer certain requests for information concerning crimes.
- **Coroners, Medical Examiners, Funeral Directors, Organ Procurement Organizations** - We may release your PHI or EPHI to a coroner, medical examiner, funeral director, or, if you are an organ donor, to an organization involved in the donation of organs and tissues.
- **Research Purposes** - Your PHI or EPHI may be used or disclosed for research purposes, but only if the use and disclosure of your information has been reviewed and approved by a special Privacy Board or Institutional Review Board, or if you provide authorization.
- **To Avert a Serious Threat to Health or Safety** - We may use and disclose your PHI or EPHI when necessary to prevent a serious threat to your health or safety or the health or safety of the public or another person. Any disclosure, however, would be to someone able to help prevent the threat.
- **Workers' Compensation** - We may use or disclose your PHI or EPHI as permitted by laws relating to workers' compensation or related programs.
- **Special Rules Regarding Disclosure of Psychiatric, Substance Abuse and HIV-Related Information** - For disclosures concerning PHI or EPHI relating to care for psychiatric conditions, substance abuse or HIV-related testing and treatment, special restrictions may apply. For example, we generally may not disclose this specially protected information in response to a subpoena, warrant or other legal process unless you sign a special Authorization or a court orders the disclosure.
- **Mental health information.** Certain mental health information may be disclosed for treatment, payment and health care operations as permitted or required by law. Otherwise, we will only disclose such information pursuant to an authorization, court order or as otherwise required by law. For example, all communications between you

and a psychologist, psychiatrist, social worker and certain therapists and counselors will be privileged and confidential in accordance with Connecticut and Federal law.

- Substance abuse treatment information. If you are treated in a specialized substance abuse program, the confidentiality of alcohol and drug abuse patient records is protected by Federal law and regulations. Generally, we may not say to a person outside the program that you attend the program, or disclose any information identifying you as an alcohol or drug abuser, unless:
 1. You consent in writing;
 2. The disclosure is allowed by a court order; or
 3. The disclosure is made to medical personnel in a medical emergency or to qualified personnel for research, audit, or program evaluation.

Violation of these Federal laws and regulations by us is a crime. Suspected violations may be reported to appropriate authorities in accordance with Federal regulations. Federal law and regulations do not protect any information about a crime committed by a patient either at the substance abuse program or against any person who works for the program or about any threat to commit such a crime. Federal laws and regulations do not protect any information about suspected abuse or neglect from being reported under State law to appropriate State or local authorities.

- HIV-related information. We may disclose HIV-related information as permitted or required by Connecticut law. For example, your HIV-related information, if any, may be disclosed without your authorization for treatment purposes, certain health oversight activities, pursuant to a court order, or in the event of certain exposures to HIV by personnel of CCARC, another person, or a known partner.
- Minors. We will comply with Connecticut law when using or disclosing PHI or EPHI of minors. For example, if you are an unemancipated minor consenting to a health care service related to HIV/AIDS, venereal disease, abortion, outpatient mental health treatment or alcohol/drug dependence, and you have not requested that another person be treated as a personal representative, you may have the authority to consent to the use and disclosure of your health information.

When We May Not Use or Disclose Your PHI or EPHI

Except as described in this Notice, or as permitted by Connecticut or Federal law, we will not use or disclose your PHI or EPHI without your written authorization.

Your written authorization will specify particular uses or disclosures that you choose to allow. Under certain limited circumstances, CCARC may condition treatment on the provision of an authorization, such as for research related to treatment. If you do authorize us to use or disclose your PHI or EPHI for reasons other than treatment, payment or health care operations, you may revoke your authorization in writing at any time by contacting CCARC's Privacy Officer. If you revoke your authorization, we will no longer use or disclose your PHI or EPHI for the purposes covered by the authorization, except where we have already relied on the authorization.

Psychotherapy Notes

A signed authorization or court order is required for any use or disclosure of psychotherapy notes except to carry out certain treatment, payment, or health care operations and for use by CCARC for treatment, for training programs, or for defense in a legal action.

Marketing

A signed authorization is required for the use or disclosure of your PHI or EPHI for a purpose that encourages you to purchase or use a product or service except for certain limited circumstances such as when the marketing communication is face-to-face or when marketing includes the distribution of a promotional gift of nominal value provided by CCARC.

Your Health Information Rights

You have the following rights with respect to your PHI or EPHI. The following briefly describes how you may exercise these rights.

- **Right to Request Restrictions of Your PHI or EPHI** - You have the right to request certain restrictions or limitations on the PHI or EPHI we use or disclose about you. You may request a restriction or revise a restriction on the use or disclosure of your PHI or EPHI by providing a written request stating the specific restriction requested. You can obtain a Request for Restriction form from CCARC. We are not required to agree to your requested restriction. If we do agree to accept your requested restriction, we will comply with your request except as needed to provide you with emergency treatment. If restricted PHI or EPHI is disclosed to a health care provider for emergency treatment, we will request that such health care provider not further use or disclose the information. In addition, you and CCARC may terminate the restriction if the other party is notified in writing of the termination. Unless you agree, the termination of the restriction is only effective with respect to PHI or EPHI created or received after we have informed you of the termination.
- **Right to Receive Confidential Communications** - You have the right to request a reasonable accommodation regarding how you receive communications of PHI or EPHI. You have the right to request an alternative means of communication or an alternative location where you would like to receive communications. You may submit a request in writing to CCARC requesting confidential communications. You can obtain a Request for Confidential Communications form from CCARC.
- **Right to Access, Inspect and Copy Your PHI or EPHI** - You have the right to access, inspect and obtain a copy of your PHI or EPHI that is used to make decisions about your care for as long as the PHI or EPHI is maintained by CCARC. To access, inspect and copy your PHI or EPHI that may be used to make decisions about you, you must submit your request in writing to CCARC. If you request a copy of the information, we may charge a fee for the costs of preparing, copying, mailing or other supplies associated with your request. We may deny, in whole or in part, your request to access, inspect and copy your PHI or EPHI under certain limited circumstances. If we deny your request, we will provide you with a written explanation of the reason for the denial. You may have the right to have this denial reviewed by an independent health care professional designated by us to act as a reviewing official. This individual will not

have participated in the original decision to deny your request. You may also have the right to request a review of our denial of access through a court of law. All requirements, court costs and attorney's fees associated with a review of denial by a court are your responsibility. You should seek legal advice if you are interested in pursuing such rights.

- **Right to Amend Your PHI or EPHI** - You have the right to request an amendment to your PHI or EPHI for as long as the information is maintained by or for CCARC. Your request must be made in writing to CCARC and must state the reason for the requested amendment. You can obtain a Request for Amendment form from CCARC. If we deny your request for amendment, we will give you a written denial including the reasons for the denial and the right to submit a written statement disagreeing with the denial. We may rebut your statement of disagreement. If you do not wish to submit a written statement disagreeing with the denial, you may request that your request for amendment and your denial be disclosed with any future disclosure of your relevant information.
- **Right to Receive An Accounting of Disclosures of PHI or EPHI** - You have the right to request an accounting of certain disclosures of your PHI or EPHI by CCARC or by others on our behalf. To request an accounting of disclosures, you must submit a request in writing, stating a time period beginning on or after April 14, 2003 that is within six (6) years from the date of your request. The first accounting provided within a twelve-month period will be free. We may charge you a reasonable, cost-based fee for each future request for an accounting within a single twelve-month period. However, you will be given the opportunity to withdraw or modify your request for an accounting of disclosures in order to avoid or reduce the fee.
- **Right to Obtain A Paper Copy of Notice** - You have the right to obtain a paper copy of this Notice, even if you have agreed to receive this Notice electronically. You may request a copy of this Notice at any time by contacting CCARC. In addition, you may obtain a copy of this Notice at our web site, www.ccarc.com.
- **Right to Complain** - You may file a complaint with us or the Secretary of Health and Human Services if you believe your privacy rights have been violated by us. You may file a complaint with us by notifying our Privacy Officer of your complaint. You will not be penalized for filing a complaint and we will make every reasonable effort to resolve your complaint with you.

CCARC, Inc.
Gabriele Godlewski, Vice President of Operations
Privacy Officer
950 Slater Road
New Britain, CT 06053
(860) 229-6665

Revised 3/2/10